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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	)	
	)	Group Art Unit: 1616
Evan C. Unger, et al.	)	
	)	Examiner: TBA
U.S. Serial No. 10/802,919	)	
	)	
Filed: March 18, 2004	)	Atty. Dkt. No. 006086.00020

For: **METHODS FOR DELIVERING COMPOUNDS INTO A CELL**

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O.B. 1450  
Alexandria, VA 22313-1450

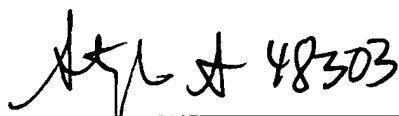
Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, enclosed is Form PTO/SB/08a listing documents for consideration by the Examiner during the prosecution of the subject application. Copies of the cited references are enclosed.

The Supplemental Information Disclosure Statement is being filed prior to the issuance of a first office action on the merits. It is believed that no fee or certification is required. However, if a fee is required, please charge our deposit account no. 19-0733.

Respectfully submitted,

Dated: October 1, 2004

By:   
FOX Sarah A. Kagan  
Reg. No. 32,141

Banner & Witcoff, Ltd.  
Customer No. 22907

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(Use as many sheets as necessary)**

Sheet	1	of	2
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**Complete if Known**

<b>Application Number</b>	<b>10/802,919</b>
<b>Filing Date</b>	<b>March 18, 2004</b>
<b>First Named Inventor</b>	<b>Evan C. Unger, et al.</b>
<b>Art Unit</b>	<b>1616</b>
<b>Examiner Name</b>	<b>TBD</b>
<b>Attorney Docket Number</b>	<b>006086.00020</b>

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner  
Signature**

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 2 of 2

**Complete if Known**

Application Number	10/802,919
Filing Date	March 18, 2004
First Named Inventor	Evan C. Unger et al.
Art Unit	1616
Examiner Name	TBD
Attorney Docket Number	006086.00020

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Godbey, W.T. et al., "Recent Progress in Gene Delivery Using Non-viral Transfer Complexes", J Control Release 72 (2001), pages 115-25.	
		Hawiger J., "Noninvasive Intracellular Delivery of Functional Peptides and Proteins," Curr Opin Chem Biol. 1999, 3:89-94.	
		Veach et al., "Receptor/Transporter-independent Targeting of Functional Peptides Across the Plasma Membrane, J Biol Chem, Vol. 279, No. 12, 2004 Mar 19, pages 11425-31.	

Examiner  
SignatureDate  
Considered

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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